



Atty. Docket No.: 8822/2022

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bachmann, et al.
Serial No.: 10/762,107
Filed: January 21, 2004
Entitled: Farnesyl Dibenzodiazepinone,
Processes for Its Production and its
Use as a Pharmaceutical

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

Conf. No.: Not yet assigned

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mary Wilson

Name of Person Mailing Paper

Signature of Person Mailing Paper

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Enclosed for filing in the above-identified patent application, please find the following documents:

1. Information Disclosure Statement;
2. Form PTO-1449; and
3. Return Post Card.

The Commissioner for Patents is hereby authorized to charge any fees to Deposit Account No. 16-0085, Reference 8822/2022. A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Date: 4/20/04

Name: Mark J. FitzGerald

Registration No.: 45,928

Palmer & Dodge LLP

111 Huntington Avenue

Boston, MA 02199-7613

Tel: 617-239-0100



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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**

Dear Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56, Applicant submits this Information Disclosure Statement pursuant to 37 CFR §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office.

A listing of the cited documents, as well as, for the Examiner's convenience, copies of all foreign patent documents and non-patent literature are enclosed. This application was filed after June 30, 2003, and copies of U.S. patents and U.S. patent applications are not required and are not enclosed.

Pursuant to CFR § 1.97(b)(3), because this Statement is being submitted before the first Office Action on the merits, no fee is required.

Applicant does not intend to represent that any of the documents submitted herein are material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicant respectfully requests that the documents submitted herein be considered and made of record in this application.

Date:

4/23/04

Respectfully submitted,

Name: Mark J. FitzGerald

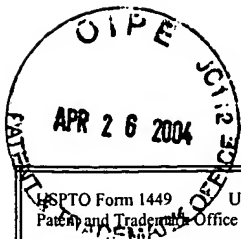
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USPTO Form 1449 U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.

Serial No.

8822/2022

10/762,107

INFORMATION DISCLOSURE STATEMENT

Applicant(s): Bachmann, et al.

Filing Date: January 21, 2004

Group: Unknown

U.S. PATENT DOCUMENTS

Examiner Initial		Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
	1.	5,541,181	July 30, 1996	Ohkuma, et al.	514	220	

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Publication Date	Country	Class	Subclass	Translation	
							YES	NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**Copies of references not provided at the time of this submission.